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STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Yeaman, Michael R., et al.Application No./Patent No: 10/524,952 Filed/Issue Date: February 18, 2005Entitled: MULTIFUNCTIONAL CONTEXT-ACTIVATED PROTIDES AND METHODS OF USE

Los Angeles Biomedical Research Institute at Harbor - UCLA Medical Center, a Non-Profit Organization

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or2. ☐ an assignee of less than the entire right, title and interest.

The extent (by percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

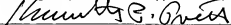
3. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.



Signature

Kenneth P. Trevett, JD

Printed or Typed Name

President and CEO

Title

11/29/05

Date

(310) 222-3601

Telephone Number

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

ASSIGNMENT

WHEREAS WE, Michael R. YEAMAN, Nannette I. YOUNT, John E. EDWARDS, Jr., Eric P. BRASS of 504 Esplanade Avenue, Suite 508, Redondo Beach, CA 90277; 28492 Avenida Placida, San Juan Capistrano, CA 92675; 3708 Va La Selva, Palos Verdes, CA 90274; and 27060 Eastvale, Palos Verdes, CA 90274, respectively, have made a certain new and useful invention as set forth in an application for United States Letters Patent, entitled MULTIFUNCTIONAL CONTEXT-ACTIVATED PROTIDES AND METHODS OF USE, for which an application for United States Letters Patent was filed on February 18, 2005, and identified by United States Application No. 10/524,952;

AND WHEREAS, LOS ANGELES BIOMEDICAL RESEARCH INSTITUTE AT HARBOR-UCLA MEDICAL CENTER, having an address of 1124 West Carson Street, Torrance, California 90502 is desirous of acquiring the entire right, title and interest in and to said invention and in and to any and all Letters Patent of the United States and foreign countries which may be obtained therefor;

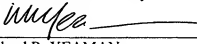
NOW, THEREFORE, for good and valuable consideration, the receipt for and sufficiency of which is hereby acknowledged, We do hereby sell, assign, transfer and set over unto Los Angeles Biomedical Research Institute at Harbor-UCLA Medical Center, its legal representatives, successors, and assigns, the entire right, title and interest in and to said invention as set forth in the above-mentioned application, including the right of priority and including any continuations, continuations-in-part, divisions, reissues, re-examinations or extensions thereof, and in and to any and all patents of the United States and foreign countries which may be issued for said invention;


UPON SAID CONSIDERATIONS, We hereby agree with the said assignee that We will not execute any writing or do any act whatsoever conflicting with these presents, and that We will, at any time upon request, without further or additional consideration but at the expense of said assignee, execute such additional assignments and other writings and do such additional acts as said assignee may deem necessary or desirable to perfect the assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining original, divisional, continuations, continuations-in-part, reexamined, reissued, or extended Letters Patent of the United States or of any and all foreign countries on said invention, and in enforcing any rights or choses in action accruing as a result of such applications or patents, by giving testimony in any proceedings or transactions involving such applications or patents, and by executing preliminary statements and other affidavits, it being understood that the foregoing covenant and agreement shall bind, and inure to the benefit of the assigns and legal representatives of assignor and assignee;

AND We request the Commissioner for Patents and Trademarks to issue any Letters Patent of the United States which may be issued for said invention to said Los Angeles Biomedical Research Institute at Harbor-UCLA Medical Center, its legal representatives, successors or assigns, as the sole owner of the entire right, title and interest in and to said patent and the invention covered thereby.

12/31/05
Date

Date


Michael R. YEAMAN


Nannette Y. YOUNT

Date

12/8/05

John E. EDWARDS, Jr.

Date

Deane S. Lee

Eric F. BRASS

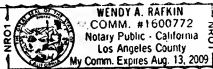
Signature Witness:

STATE OF California)
) SS:
COUNTY OF Los Angeles)

On this 1st day of Dec, 2005, before me, the undersigned notary public, personally appeared Michael R. YEAMAN, proved to me through satisfactory evidence of identification, which consisted of being personally known to me, to be the person whose name is signed on the preceding or attached document in my presence.

Weg Rath
Notary Public

My commission expires _____



Signature Witness:

STATE OF California)
) SS:
COUNTY OF Los Angeles)

On this 1st day of Dec, 2005, before me, the undersigned notary public, personally appeared Nanette Y. YOUNT, proved to me through satisfactory evidence of identification, which consisted of personally known to me, to be the person whose name is signed on the preceding or attached document in my presence.

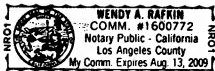
Wendy A. Rafkin
Notary Public

My commission expires _____



Signature Witness:

STATE OF California)
) SS:
COUNTY OF Los Angeles)



On this 8TH day of Dec., 2008 before me, the undersigned notary public, personally appeared John E. EDWARDS, Jr., proved to me through satisfactory evidence of identification, which consisted of _____, to be the person whose name is signed on the preceding or attached document in my presence.

Wendy A. Rafkin

Notary Public
My commission expires _____

Signature Witness:

STATE OF California)
) SS:
COUNTY OF Los Angeles)



On this 5TH day of Dec., 2008 before me, the undersigned notary public, personally appeared Eric P. BRASS, proved to me through satisfactory evidence of identification, which consisted of _____, to be the person whose name is signed on the preceding or attached document in my presence.

Wendy A. Rafkin

Notary Public
My commission expires _____

